



2014 S.L. Gimbel Foundation Fund Grant Application

Internal Use Only:	
Grant	20140734
	\$17,075

Organization / Agency Information

<i>Organization/Agency Name:</i> Housatonic Valley Association, Inc. 19989		
<i>Physical Address:</i> 150 Kent Road, Cornwall Bridge, CT 06754		<i>City/State/Zip</i>
<i>Mailing Address:</i> P.O. Box 28, Cornwall Bridge, CT 06754		<i>City/State/Zip</i>
<i>CEO or Director:</i> Lynn A. Werner		<i>Title:</i> Executive Director
<i>Phone:</i> (860) 672-6678	<i>Fax:</i> (860) 672-0169	<i>Email:</i> lynnhva@hotmail.com
<i>Contact Person:</i> Michael Jastremski		<i>Title:</i> Water Protection Director
<i>Phone:</i> (860) 672-6678	<i>Fax:</i> (860) 672-0169	<i>Email:</i> mjastremski@hvatoday.org
<i>Web Site Address:</i> www.hvatoday.org		<i>Tax ID:</i> 06-6049295

Program / Grant Information

Interest Area: Health Environment Animal Protection Education Human Dignity

<i>Program / Project Name:</i> Demonstration Rain Garden at the Town Hall, Amenia, NY		
<i>Amount of Grant Requested:</i> \$17,075	<i>Total Organization Budget:</i> \$1,809,388	<i>Percentage of Organization's Total Budget used for Administration:</i> 12.0%
<i>Purpose of Grant Request (one sentence):</i> Grant will be used to develop a green infrastructure plan for stormwater management and install a demonstration rain garden in a highly-visible area adjacent to the Amenia, NY town hall in order to educate the general public, elected officials, land use volunteers and developers about 'green' ways to manage storm water runoff.		

Signatures

<i>Board President / Chair: (please print)</i> F. Anthony Zunino		<i>Title:</i> President
<i>Signature:</i> 		<i>Date:</i> July 31, 2014
<i>CEO / Director: (please print)</i> Lynn A. Werner		<i>Title:</i> Executive Director
<i>Signature:</i> 		<i>Date:</i> July 31, 2014

2014 S.L. Gimbel Foundation Fund APPLICATION

Narrative

I. Organization Background; Target Population: Organization Background: Founded in 1941, the Housatonic Valley Association is the only group dedicated solely to protecting the Housatonic River and its entire 2,000 square-mile watershed that encompasses parts of 83 communities from western Massachusetts through western Connecticut and a small part of eastern New York State to Long Island Sound. HVA fulfills its mission by establishing partnerships with agencies and organizations; conducting research, education, and advocacy; and providing technical assistance to communities and neighborhood groups. HVA operates from offices in Cornwall Bridge, CT; Lee, MA; and Wassaic, NY. We achieve our mission through three major programs and associated projects: 1) Land Protection, 2) Water Protection, and 3) Community Education and Events. Recent accomplishments: Worked to restore fish and wildlife habitat by targeting more than 200 road culvert crossings for replacement; organized the first Zebra Mussel Task Force; removed tons of garbage from rivers and streams; installed four new boating access sites; conserved 20 acres of undeveloped riverfront land and 341 acres of forest; worked with municipalities to reduce polluted runoff; published paddles guides; held workshops, trail walks and paddle trips; brought watershed science education to 1000's of elementary school students; held a 'Source- to-Sound' paddle adventure to bring together more than 70 river and land protection groups.

II. Project Information: A. COMMUNITY NEED: The Amenia Town Hall is adjacent to a state-listed wetland associated with Amenia Creek, home to several species of state conservation concern¹. There is a considerable amount of impervious surface both on and draining to the site, including building roofs, asphalt parking lots and walking paths, and two major roads. Currently runoff from this impervious surface flows to traditional stormwater drainage, delivering it untreated to the wetland and the stream and causing a number of negative impacts to water quality and habitat. These traditional stormwater systems are regularly overwhelmed, causing flooding on the site. The Town Hall is a center of activity, hosting a variety of events and activities in addition to the workings of municipal government. Consequently it is an ideal location to demonstrate stormwater best management practices and Green Infrastructure to town residents, elected officials and area professionals. The Town of Amenia is currently upgrading the public playground located behind the Town Hall and park areas surrounding the building. With funds from the Gimbel Foundation, we will be able to integrate Green Infrastructure principles into this ongoing work and create a regional resource for Green Infrastructure education and demonstration while improving water quality and wildlife habitat.

B. PROJECT GOAL: To install Green Infrastructure practices for the benefit of the Town of Amenia, water quality and wildlife habitat and educate town residents, town staff, elected officials and area professionals about stormwater best management practices and the importance of Green Infrastructure in a highly visible park area adjacent to the Town Hall.

PROJECT DESCRIPTION: This project will develop a plan to manage stormwater using Green Infrastructure at a critically important community hub, the Amenia Town Hall, and take an initial step in plan implementation by installing a demonstration rain garden. We will also take advantage of the high visibility of the site to provide much-needed education to town

¹ New York State Comprehensive Wildlife Conservation Strategy.

residents, elected officials, town staff and area professionals about stormwater best management practices and the importance of Green Infrastructure.

MEETING THE COMMUNITY NEED/INNOVATION: This project will provide a number of benefits to the Town of Amenia and the environment to meet these specific needs:

- *Water quality enhancement:* Stormwater delivers pollutants to our wetlands - including pathogens, nutrients, sediment, and heavy metals. By capturing and filtering stormwater through the soil, features like rain gardens clean up stormwater before it reaches our waters.
- *Habitat enhancement:* When Green Infrastructure practices like wetland restoration are used, amount and quality of habitat can be increased as natural processes are restored. This is especially true if these features incorporate native plants, which we are proposing on this site.
- *Aesthetic enhancement:* In addition to being functional as they capture and clean stormwater, these features are also beautiful, hosting a variety of flowers, birds and butterflies.
- *Climate Change Resiliency through Flood Damage Prevention:* Climate change is expected to increase average annual precipitation in the Northeast. By reducing stormwater runoff and protecting floodplains, green infrastructure can help manage floods. In areas impacted by localized flooding such as the Amenia Town Hall site, Green Infrastructure practices absorb rainfall, preventing water from overwhelming pipe networks and pooling in streets or basements.
- *Capacity building:* Another important benefit of developing a Stormwater Management Plan using Green Infrastructure principles is that there is a wide variety of state and federal funding programs aimed at increasing the use of Green Infrastructure. The Stormwater Management Plan we produce under this objective will serve as the basis for future fundraising for Green Infrastructure projects on the site.

Objective 1: Create a Stormwater Management Plan for the Town Hall site (Months 1-10)

ACTIVITIES: In cooperation with key staff from the Town of Amenia and our project Landscape Architect, we will develop a plan to improve stormwater management on the Town Hall property through the use of Green Infrastructure practices. The Stormwater Management Plan developed under this objective will include reconnaissance and investigation of the hydrology of the property and adjacent areas draining to the property; identification and development of Green Infrastructure Projects that may include rain gardens and other features that capture and infiltrate stormwater draining from impervious surfaces, replacement of impervious surfaces with pervious surfaces, and wetland restoration; and development of implementation strategies for the projects identified that include conceptual plans and potential funding sources. *Deliverables Objective 1: Stormwater management plan document*

Objective 2: Construct demonstration rain garden and install native plants along wetland buffer adjacent to the public playground (Months 5-10)

ACTIVITIES: The Town of Amenia is currently working on upgrades to the public playground located behind the Town Hall. Under this objective, we would construct a demonstration rain garden next to the new playground, which will help prevent runoff from the Town Hall parking lot, a basketball court, a sidewalk and a major road and main street for the town. We would also install native plantings along the wetland buffer. This initial construction project will serve as the

catalyst for further implementation of the Stormwater Management Plan. *Deliverables Objective 2: Demonstration rain garden and wetland buffer planting installed.*

Objective 3: Develop interpretive materials (Months 8-12)

ACTIVITIES: A permanent sign to help interpret the rain garden and educate visitors will be designed and installed. *Deliverables Objective 3: Sign installed.*

TIMELINE: Objective 1: Months 1-10; Objective 2: Months 5-10; Objective 3: Months 8-12

WHO WILL THIS GRANT SERVE? The population of Amenia, NY (4,436 all ages) will benefit. Volunteers from the town Enhancement Committee, the Conservation Advisory Committee, the Amenia Garden Club and students from the local high school will provide volunteer labor for planting the rain garden.

HOW DOES THIS PROJECT RELATE TO OTHER EXISTING PROJECTS IN THE COMMUNITY? This is the first project of its kind in town. **Community partners:** Garden Club, Amenia Enhancement Committee, Conservation Advisory Commission, and Webatuck High School students will provide volunteers. Amenia municipal leadership welcomes the project.

C. PROJECT OUTCOMES: OUTCOME 1: Stormwater currently entering Amenia Creek and associated wetlands untreated will be captured and infiltrated;

EVALUATION: Stormwater BMPs installed

OUTCOME 2: Habitat quality in Amenia Creek and associated wetlands will be improved;

EVALUATION: Stormwater BMPs and wetland buffer plantings installed

OUTCOME 3: Important audiences (Town officials, staff, local professionals, public) will become more aware of the benefits of a Green Infrastructure approach;

EVALUATION: Consideration of Green Infrastructure in development application review)

OUTCOME 4: Town will have a tool for raising future funds for Green Infrastructure projects at the Town Hall site. EVALUATION: Successful funding applications

D. HOW WE WILL USE THE FUNDS: The attached budget explains the use of grant funds.

III. Project Future

A) Explain how you will support this project after the grant performance period.

The Stormwater Management Plan developed under Objective 1 will include implementation strategies for the projects identified that include conceptual plans and potential funding sources. We will use this document as the basis of future funding proposals.

IV. Governance, Executive Leadership and Key Personnel/Staff Qualifications

A. The 16 member Board of Directors meets six times a year and represents the diversity of the general population of watershed communities. Its role is oversight of the organization. The President and Executive Committee work closely with, and annually evaluate, the Executive Director who is responsible for managing the staff, budget and program.

B. Qualifications of the project director, **Michael Jastremski:** Prior to joining HVA as Water Protection Manger in 2013, he worked in the Delaware County, New York Planning Department where he served as the Environmental Planner since 2010. He previously worked as the County's Stream Management Planner. Mike's on-the-ground experience includes extensive work with communities on such things as stream corridor management, water quality protection and planning, environmental regulation, natural hazard mitigation, habitat restoration, storm water management and site plan review. Mike is also experienced in facilitating partnerships among diverse public and private stakeholders around these issues.

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V. Project Budget

Please provide a detailed line-item budget for your project by completing the table below.
Include all sources of funding for the proposed project.

Line Item Description	Line Item Explanation (Formula/equation used as applicable. Example: 40 books @ \$100 each = \$4000)	Support From Your Agency	Support From Other Funders	Requested Amount From TCF	Line Item Total of Project
Project Manager M. Jastremski	Salary & Benefits, 150 hrs. @ \$37.00/hr.			5,550	5,550
NY Watershed Manager	Salary & Benefits, 150 hrs. @ 34.00/hr.			5,100	5,100
Excavator	Machine for excavation, 2 days			2,000	2,000
Landscape Architect	Design garden, oversee excavation & planting		1,000	2,000	3,000
Gravel, garden base	50 tons gravel @ \$18.50/ton			925	925
Plants for rain garden	300 @ \$5.00		1,500		1,500
Travel	400 miles (10-40 mile trips) @\$.48/mile	200			200
Interpretive Sign	Customized interpretive sign			1,500	1,500
Volunteers	87 hours @\$27.20 (Independent Sector)		2,366		2,366
TOTALS:		200	4,866	17,075	22,141

VI. Sources of Funding: Please list your current sources of funding and amounts.

Secured/Awarded

Name of Funder: Foundation, Corporation, Government	Amount
HVA membership	\$ 200
Volunteer hours, NY rate as per Independent Sector	\$ 2,366

Pending

Name of Funder: Foundation, Corporation, Government	Amount	Decision Date
Landscape Architect in-kind donation	1,000	2/1/2015
Area nurseries, donation of plants	1,500	4/1/2015

VII. Financial Analysis

Agency Name: HOUSATONIC VALLEY ASSOCIATION, INC.
 Most Current Fiscal Year (Dates): From 07/01/2012 To: 06/30/2013

Program to Total Expenses Ratio: Percentage of expenses used to support programming versus how much is spent for general management and fundraising. A general rule is that at least 75 percent of total expenses should be used to support programs – the higher the percentage the better.

Program Expenses	/Total Operating Expenses	= Program Expense Ratio
\$ 876,500	\$ 987,967	89 %
990: Part IX, Column B, Line 25	990: Part IX, Column A, Line 25	

Administrative Expense (100%-Program Expense ratio) per 990 above	Percentage of Organization's Current Total Budget used for Administration (from cover page)	Differential
11 %	12 % ✓	1 %

If the differential is above (+) or below (-) 10%, provide an explanation:

Quick Ratio: Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

Cash	+ Accounts Receivables	/Current Liabilities	= Quick Ratio
\$281,548	\$ 59,493	19053	18

Excess or Deficit for the Year:

Excess or (Deficit) Most recent fiscal year end	Excess or (Deficit) Prior fiscal year end
\$ (15,000) UNAUDITED 2014	\$ (769)

Notes: These numbers are from the 990 for the fiscal year ended June 30, 2013. The next 990 should be completed by 9/30/14 and will be sent then.

Diversity of Funding Sources: A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

Funding Source	Amount	% of Total Revenue	Funding Source	Amount	% of Total Revenue
Contributions	\$379,199	38%	Program Fees	\$12,251	2%
Fundraising/Special Events	\$73,656	7%	Interest Income	\$6,580	1%
Corp/Foundation Grants	\$457,357	46%	Other:	\$1,824	0
Government Grants	\$56,331	6%	Other:	\$	

HOUSATONIC VALLEY ASSOCIATION
Board of Directors
2014

PRESIDENT

F. Anthony Zunino (class of 2017)
38 Flanders Lane, P.O. Box 601
Kent CT 06757
860-927-4558

AFFILIATIONS

Kent Land Trust Board; Board of Directors of Kent Center School
Scholarship Fund, Highlands Coalition steering committee,
Trustees of Greenwich Village Society for Historic Preservation,
Former trustee of the Grace Church School, Board of directors Classic
Stage Company, Trustee of NY Studio School.

VICE PRESIDENT

Robert Houllihan (2015)
340 S. Georges Hill Road
Southbury CT 06488
203-267-5516

AFFILIATIONS

Vice President, Heritage Development Corp.

VICE PRESIDENT

Ellen M. Rosenberg ((2017)
14 East Brook Court
Bethel CT 06801
203-743-7194

AFFILIATIONS

Director Center for Urban Studies at WCSU Danbury Community
Growth Forum, Sunny Valley Foundation, Served on Board of
halfway house for former mental patients, Wolfpits Preserve of Nature
Conservancy, Member Connecticut Committee Regional Plan
Association, Retired Professor of Anthropology WesCon, Still River
Advisory Group

TREASURER

James H. Maloney, Esq. (2016)
15 Wooster Heights
Danbury CT 06810

AFFILIATIONS

Former U.S. Congressman of Connecticut's Fifth Congressional
District, Served eight years in the Connecticut State Senate,
Served on the Board of Directors of Danbury Hospital, Former
Executive Director of a regional anti-poverty agency.

SECRETARY

Barton Jones (2015)
12 Popple Swamp Road
Cornwall Bridge CT 06754
860-672-6263

AFFILIATIONS

Episcopal Church Board of Pensions, retired
Cornwall Conservation Trust Board of Directors
Housatonic River Commission Cornwall rep.

Linda Allard (2015)

156 Wykeham Road
Washington CT 06793
860-868-1209

AFFILIATIONS

Ellen Tracy brand, retired
Weantinoge Heritage Land Trust Board of Directors

Christine Baranski (2016)

316 Wood Creek Road
Bethlehem CT 06751
203-266-7386

AFFILIATIONS

Stage and screen actress noted for her role as "Maryann" on the TV
sitcom Cybill, Recent movies: "Birdcage," "Chicago," "Mooseport;"
Co-star of The Good Wife series on CBS tv.

David Behnke (2016)

152 Wykeham Road
Washington, CT 06793
860-868-1695

AFFILIATIONS

Managing Director and Head of U.S. Investment for Najeti Ventures

Joseph Ellis (2015)
158 Town Street
W. Cornwall CT 06796
860-672-6985

AFFILIATIONS

Goldman Sachs partner, retired, National Audubon board

Shepley Evans (2016)

P.O. Box 155
Stockbridge MA 01262
413-528-2909

AFFILIATIONS

Founder & Broker of FRIDAY & Co. Real Estate, Stockbridge, Mass.,
Great Barrington Conservation Administrator
Mass. Association of Conservation Commissions board, Mass. Rivers
Alliance board, Laurel Hill Association (Stockbridge) board
and past president. Stockbridge Land Trust board of Trustees and past
president, Great Barrington Land Conservancy board vice president.

Einar Lindholm (2017)

P.O. Box 220, 238 River Road
West Cornwall CT 06796
860-672-6432 & Fax

AFFILIATIONS

Architect. Former HVA president.

Ecton Manning (2017)

49 Painter Ridge Road
Washington CT 06793
860-868-3017

AFFILIATIONS

Member Connecticut Community Foundation; former chair
Connecticut Advisory Board Trust for Public Land; former director
Connecticut League of Conservation Voters, Litchfield
Performing Arts, Washington Environmental Council,
Former member of University of Virginia Law School Advisory
Council. Retired Vice President & General Counsel of Bowater Inc.

James S. McInerney Jr. (2015)

34 Glen Avenue
Norwalk CT 06850
203-847-7336

AFFILIATIONS

Chairman/Bridgeport Hydraulic Company, Treasurer of Rivers
Alliance. Past affiliations: Director/American Water Works Association,
Trustee/American Water Works Association Research Foundation,
Chairman/Junior Achievement of Western Connecticut

Michael Nesbitt (2017)

202 Wells Hill Road
Lakeville CT 06039
860-435-8826

AFFILIATIONS

Housatonic Fly Fisherman, Association, Trout Unlimited,
Atlantic Salmon Federation, Ruffed
Grouse Society

Barclay Prindle (2015)

99 Calkinstown Road
Sharon CT 06069
860-364-5639

AFFILIATIONS

Owner Prindle Insurance, Sharon Planning and Zoning Commission past
chair

Richard Stebbins (2015)

20 East 86 Street
New York NY 10024
212-362-9581

AFFILIATIONS, retired

THE HOUSATONIC VALLEY ASSOCIATION, INC.
2014 BUDGET TO ACTUAL
@6/30/2014

REVENUES	BUDGET FYE 2014	ACTUAL @6/30/2014	BUDGET FYE 2015
New Members	10,000	4,975	10,500
Regular Members (Dues)	40,000	55,566	42,500
Major Donors (Donors)	59,000	43,453	55,000
Watershed Guardians (Major Donors)	175,000	139,302	175,000
Special Gifts (Memorials)	4,500	150	4,500
BOD Gifts	79,650	82,563	79,125
BOD Gifts for Strategic Plan	-	4,005	5,000
Subtotal- gifts from Individuals	368,150	330,014	371,625
Municipalities	5,525	3,800	5,525
Unrestricted Corp	54,950	40,500	35,050
Fees	16,000	12,696	14,000
Grants	434,271	366,860	748,788
Belter Farm/CLP NRD Grant	395,983	332,075	-
MA Habitat Conti./NRD Grant	393,295	88,648	378,350
Grants from Individuals	90,850	83,100	81,150
Reserve Against Unrealized Grants	(35,000)	-	(40,000)
Events - Golf	35,000	28,620	32,000
Auction Income	144,000	129,425	140,000
Interactive Dinner	-	-	10,000
Rent	8,700	9,526	8,700
Miscellaneous = Formula Transfer	19,000	20,724	20,000
Donated Goods & Services	-	-	-
Investment Income	4,200	4,944	4,200
Unrealized Gains & Losses	-	48,767	-
OPERATING REVENUES	1,934,924	1,499,699	1,809,388
EXPENSES			
Salary	682,120	677,962	744,155
Pension	13,476	22,650	33,548
Payroll Tax	58,916	55,345	64,078
Benefits	83,840	66,838	67,541
Workmen's Com	7,700	7,191	7,800
Consulting	131,008	84,353	267,193
Frost Farm/CLP NRD Grant	375,000	336,500	-
Habitat Conti./NRD Grant	362,900	77,182	362,900
Professional Fees	7,420	13,346	13,320
Occupancy	14,500	12,979	18,500
Advertising	1,400	621	1,200
Postage/Shipping	12,450	10,709	11,624
Printing	28,605	17,448	38,165
Telephone	3,800	3,538	3,800
Technology Expense	12,162	12,554	14,232
Supplies	35,275	25,238	55,554
Travel & Enter.	22,280	22,919	24,054
Meetings/conf	32,665	27,077	31,629
Memberships & Publications	4,299	4,123	4,649
Non P/R Taxes	9,580	4,544	7,767
Insurance	8,000	8,405	9,460
Liability Insurance	1,100	1,000	1,100
Rent	9,600	9,000	9,600
Interest	2,500	11	2,500
Miscellaneous	-	-	-
Depreciation	15,000	13,850	15,000
OPERATING EXPENSES	1,935,596	1,515,383	1,809,369
	(672)	(15,684)	19

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	91,511	72,139	12,698	6,674
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	537,690	491,971	26,326	19,393
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	5,934	5,316	371	247
9 Other employee benefits	64,339	54,956	4,691	4,692
10 Payroll taxes	51,413	46,280	3,143	1,990
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	6,481	5,669	406	406
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	87,311	82,216	375	4,720
12 Advertising and promotion	191	191		
13 Office expenses	31,896	22,387	8,585	924
14 Information technology	11,794	11,329	232	233
15 Royalties				
16 Occupancy	20,167	17,861	1,335	971
17 Travel	18,208	16,690	317	1,201
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	4,097	3,910	57	130
20 Interest	11	11		
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	11,828	9,462	1,370	996
23 Insurance	14,658	11,594	2,230	834
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a SUPPLIES	14,182	11,841	1,838	503
b POSTAGE AND SHIPPING	7,935	4,757	2,849	329
c TELEPHONE	3,265	2,789	238	238
d MEMBERSHIP/PUBLICATIONS	5,056	4,881	100	75
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	987,967	876,250	67,161	44,556
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input checked="" type="checkbox"/> if following SOP 98-2 (ASC 958-720)				



Serving the Counties of Riverside and San Bernardino

S.L. Gimbel Foundation Fund
Grant Evaluation Form
Docket 3

Grant Period:
November 1, 2013 – October 31, 2014

Evaluation Due Date:
November 15, 2014

Organization: Housatonic Valley Association

19989

Contact Name: Ms. Lynn A. Werner

Title: Executive Director

Phone Number: (860) 672-6678

Email Address: lynnhva@hotmail.com

Award Amount: \$ 9,566

Grant Number: 20130696

- **Project's key outcomes and results:**

A rain garden, serving as a highly-visible demonstration of how to filter stormwater run-off through vegetation, has been installed next to the very popular Harlem Valley Rail Trail by the Housatonic Valley Association. Thousands of walkers, riders and runners will pass this attractive garden, and soon to be installed interpretive sign, for years to come. The garden will also serve as a model for municipalities and developers coming into the area and will encourage planning boards and highway superintendents to propose such ground-water filtering projects as well. The garden will help improve the water quality of the Webutuck Creek, a tributary in the Tenmile River Watershed, which flows contiguous to the Rail Trail.

HVA recruited partners for the project that included Millerton Village trustees and Planning Board members who will continue to provide supportive maintenance for the garden in conjunction with the North East Community Center, whose property abuts the installation. Dutchess County Parks Commission and the Taconic Regional Office of New York State Parks helped facilitate the permitting of the project. The design and installation of the garden was by Mark K. Morrison, Ltd., landscape architects who also donated in-kind services.

- **Challenges and obstacles encountered and how we overcame/addressed them:**

The original site for the garden along the Rail Trail next to the North East Community Center property proved unsuitable. It took a great amount of time to establish ownership of the final site, receive approvals, obtain insurance and permits before construction began this spring. The final site is very near the center of the Village of Millerton, making for high public visibility.

- **Lessons learned:**

All challenges and changes to project plans cannot be foreseen. We needed your grant funding to enable HVA staff to put in the time and really bear down to make sure the project was viable. We originally had what we thought was an excellent site on the property of the North East Community Center along with a donation of volunteers and educational support from NECC staff. However, it took a great deal of effort to reconnoiter the final site and obtain approvals

from state, county, local and Rail Trail officials. Ultimately we did not need the number of volunteers we originally thought we needed to install the garden.

- **Unintended positive outcomes:**

As the project developed we received additional financial support from Harney & Sons Fine Teas, Inc., a private donor and a donation of plants from Twin Brooks Gardens of Millbrook, New York. HVA showed its professional ability to complete complex projects as we negotiated a matrix of public and private bureaucracies and by attracting a number of funding sources to accomplish the successful completion of this worthy project.

- **Overall effect of this grant on HVA**

HVA New York field office is a relatively new 'presence' in the Tenmile River sub-watershed region of eastern Dutchess County, New York. Most of our work is carried out in Massachusetts and Connecticut along the main stem of the Housatonic River. However, the Tenmile area is home to pristine, but vulnerable, wildlife and watercourses and receives too little government agency attention. This project raises both the public awareness of the need to protect clean water and HVA's leadership role in environmental protection for this region.

- **Success stories as a result of this grant**

The garden was only recently installed and news of it is just beginning to circulate. After viewing the installation last week, Steve Olsen, Director of Parks, Dutchess County DPW-Parks Division, sent an email to HVA saying: "It looks great!!" We are working on an interpretive sign and expect that once that is installed, we will widely publicize a dedication ceremony and further raise public awareness.

- **Additional Information**

Attached are photos of the garden as of June 26, 2014. The sign will be installed this summer and will be located adjacent to the rain garden. It will appear either as a large panel under the Rail Trail kiosk or as a stand-alone sign similar to the oval sign shown in the attachment. The Foundation logo will be displayed along with those of other funders. A copy of a press article that appeared in the Millbrook Independent is also attached.

Thank you for providing this grant.

- Provide a financial report on the use of your grant funds (expenditures).
- ❖ Please send copies of publicity and other promotional materials.
- ❖ All variances or time extensions must be approved by The Community Foundation's Grant Committee. Please contact us at 951-684-4194, ext. 114 immediately if a variance or extension becomes necessary.

Please return the completed form to:
Celia Cudiamat, Executive Vice President
3700 Sixth St., Suite 200, Riverside, CA 92501 or fax to 951-684-1911
Or email to: ccudiamat@thecommunityfoundation.net

2013 S.L. Gimbel Foundation Grant

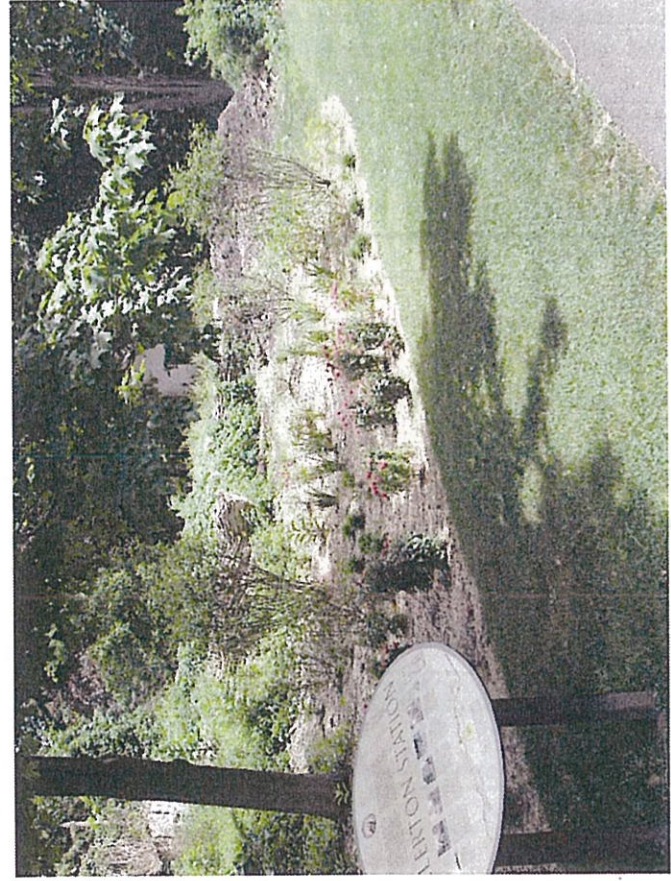
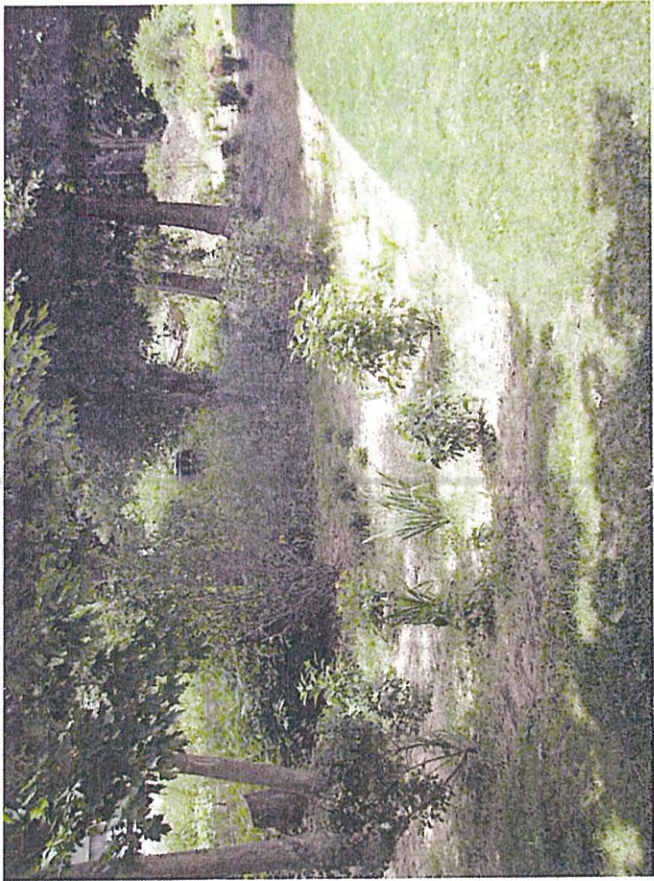
Project Budget as Expended—Millerton Rain Garden, Housatonic Valley Association 2014

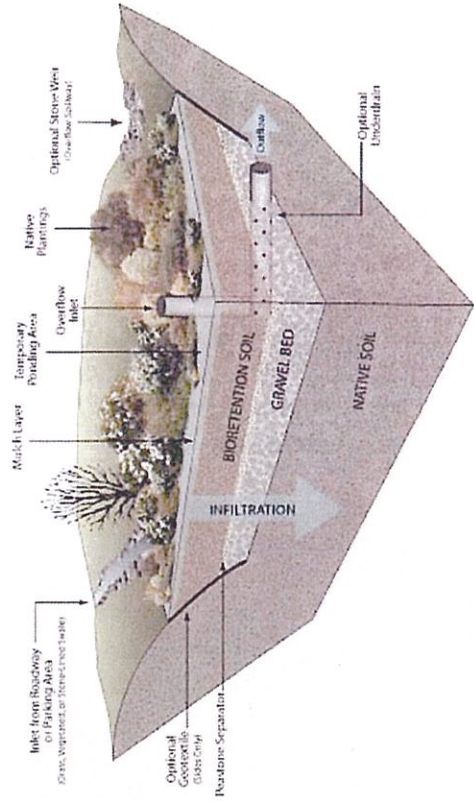
Line Item Description	Line Item Explanation	Support From Your Agency	Support From Other Funders	Original Grant Amount From TCF	Original Total of Project	Final Expenditures for project
Project Manager	Salary & Benefits, 200 hrs. @ \$30.00/hr.			3,400	3,400	6,000
Excavator (1)	Machine for excavation, 2 days			2,000	2,000	-
Landscape Architect (1)	Design garden, oversee excavation & planting		1,000	2,000	3,000	6,500
Gravel, garden base (1)	50 tons gravel @ \$18.50/ton			925	925	-
Top soil for garden(1)	10 yards @ \$30.00	300			300	-
Plants garden (1)	300 @ \$5.00		1,500		1,500	-
Travel	400 miles (10-40 mile trips) @\$.48/mile	200			200	68
Printing of brochures (2)	2000 @ \$.20/copy			400	400	0
Interpretive Sign	Customized interpretive sign			1,000	1,000	1,400
Tree removal, NECC educators (3)	\$2,650 tree removal Educators 30 hours @ \$28.00		4,700		4,700	0
Volunteers	175 hours @\$27.20 (Independent Sector)		2,366		2,366	4,760
TOTALS:		500	9,566	9,725	19,791	18,728

Budget Notes: (1) Mark Morrison Associates contract for \$6,500 covered excavator, site preparation, grading, supply and install plant material, mulch and clean-up work area. (2) We did not design and print brochures. (3) NECC participated minimally as the site was moved off of their property.

Sources of Funding:

Name of Funder: Foundation, Corporation, Government	Amount
Community Foundations of the Hudson Valley (Dutchess County)	1,500
Mark Morrison, AIA, in-kind	1,000
S.L. Gimbel Foundation Fund	9,566
HVA in-kind	3,068
Volunteer hours, NY rate as per Independent Sector	4,760





Rain Garden installed along Rail Trail in Millerton

By Antonia Shoumatoff

Stormwater runoff is polluting our rivers and streams. An excellent way to filter stormwater runoff is to plant a rain garden. Now you can visit a new rain garden sponsored by HVA that is being installed next to the Harlem Valley Rail Trail, and designed and installed by Mark K. Morrison, Ltd., landscape architects.



Rain gardens function by collecting and filtering stormwater before it makes its way to nearby waterways. This rain garden is a model for landowners, town leaders and developers, along with planning boards and highway superintendants, too. The garden will help improve the water quality of Webutuck Creek, an important tributary to the Tenmile River, which flows contiguous to the Rail Trail before.

Project partners include Village Trustee Christine Bates and

Village Planning Board member Peter Greenough. They will provide supportive maintenance for the garden in conjunction with the North East Community Center. Steve Olsen, Director of Dutchess County Parks and Linda Cooper, Taconic Region Regional Director of New York State Parks helped facilitate the permitting of the project.

The rain garden is possible made possible in part by a grant from the S.L. Gimbel Foundation Advised Fund at the Community Foundation Serving the Counties of Riverside and San Bernardino, Calif., and the Community Response Fund of the Community Foundation of Dutchess County. Twin Brooks Gardens donated plants, and special gifts from Peter Greenough and Christine Bates, as well as Harney and Sons Fine Teas, helped support the interpretive sign that will explain the rain garden to visitors.

###

The Millbrook Independent

VOICE OF THE MILLBROOK REGION

News Featured Editorial Page Arts Food & Drink Sports Environment Municipal Info Calendar Classifieds Galleries Horoscopes

Volume 6, Issue 17

Tags
Millerton
HVA
Rain Garden

Rain Garden installed at Rail Trail in Millerton

by Antonia Showenoff

The Housateonic Valley Association has installed a demonstration of how to filter stormwater run-off through vegetation, a system called a rain garden, next to the Harlem Valley Rail Trail in downtown Millerton. The design and installation of the garden is by Mark K. Morrison, Ltd., landscape architects. It is visible from the rail trail and will soon have interpretive signage explaining how it functions.

This rain garden will serve as a model for municipalities and developers coming into the area and will encourage planning boards and highway superintendants to propose such projects as well. The project will be a small step towards improving the water quality of the Webutuck Creek, part of the Tenmile River Watershed, which runs along the rail trail for much of its length.

Partners on the project are village trustee Christine Bates and village planning board member Peter Greenough. They will provide supportive maintenance for the garden, in conjunction with the NorthEast Community Center. Steve Olsen, director of Dutchess County Parks, and Linda Cooper, Taconic Region Regional Director of New York State Parks, facilitated the permitting of the project.

The rain garden is being funded by grants totaling \$11,000 from the S.L. Gimbel Foundation, the Community Response Fund of the Community Foundation of Dutchess County, and Harney Teas. Donations of plants were received from Twin Brooks Gardens in Millbrook.

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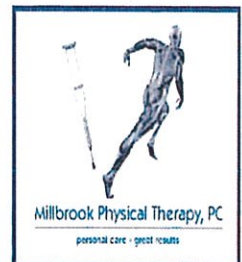
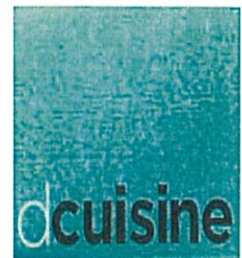
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RAIN GARDENS



courtesy of City of Portland, Oregon

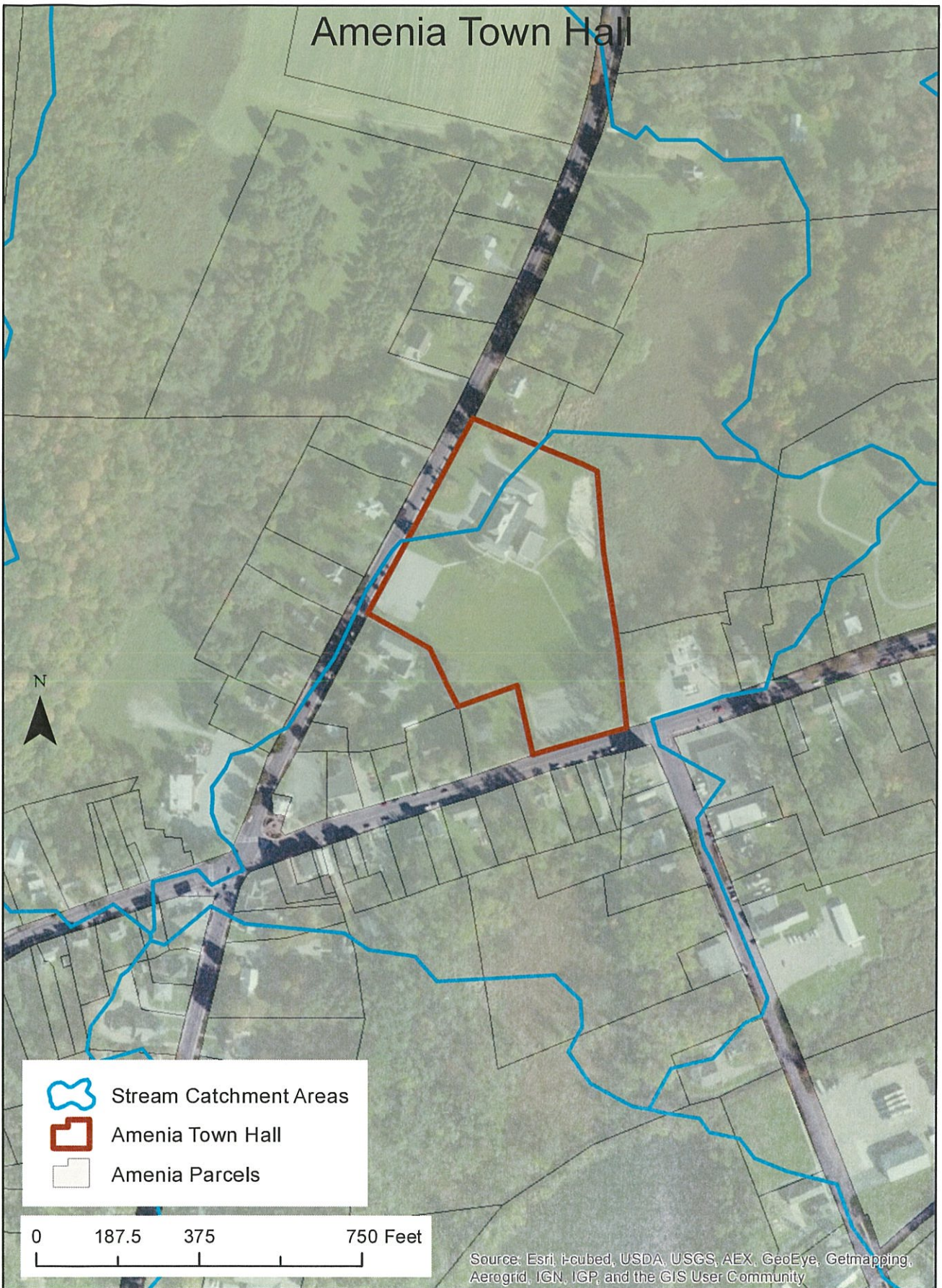





courtesy of Wisconsin Department of Natural Resources



courtesy of City of Maplewood, Minnesota

Amenia Town Hall



-  Stream Catchment Areas
-  Amenia Town Hall
-  Amenia Parcels

0 187.5 375 750 Feet

Source: Esri, i-cubed, USDA, USGS, AEX, GeoEye, Getmapping, Aerogrid, IGN, IGP, and the GIS User Community



TOWN OF AMENIA

4988 Route 22 AMENIA, NY 12501

TEL: 845-373-8860 x105 FAX: 845-373-9147

E-MAIL: Supervisor@ameniany.gov WEB: www.AmeniaNY.Gov

July 29, 2014

Mr. Michael Jastremski
Water Protection Director
Housatonic Valley Association
150 Kent Road
Cornwall Bridge, Ct 06754

Dear Mr. Jastremski:

The Town of Amenia endorses the Housatonic Valley Association's grant request to the S.L. Gimbel Foundation Fund for a project to help reduce storm-water run-off and provide a demonstration rain garden on the town hall property.

A rain garden will serve two purposes, to infiltrate run-off before it gets into the stream and to provide a spot in the shade that can be used as a park area. We already have the Main Street Revitalization grant for streetscaping in that area which we used for benches, trees and plantings.

This project will develop a plan to manage storm water using Green Infrastructure at a critically important community hub, the Town of Amenia Town Hall, and install a demonstration rain garden. The Amenia Town Hall is adjacent to a state-listed wetland and brook, home to several species of state conservation concern. There is a considerable amount of impervious surface both on and draining to Town property, including building roofs, asphalt parking lots and walking paths, and two major roads. Currently runoff from this impervious surface flows to traditional storm water drainage, which delivers it untreated to the wetland and the stream. Polluted runoff causes a number of negative impacts to water quality and habitat.

The Town Hall is a center of activity for Amenia residents, hosting many events and activities. In addition to providing ample opportunities for improving water and habitat quality with better storm water management, it is also an ideal location to demonstrate storm water best management practices to town residents and area professionals.

The town agrees to provide stewardship for this project along with in-kind contributions such as connecting pathways and a picnic area.

We look forward to working with you on this exciting project.

Sincerely,

Victoria Perotti
Supervisor, Town of Amenia

APR 12 1999

Internal Revenue Service
P. O. Box 2508
Cincinnati, OH 45201

Department of the Treasury

Employer Identification Number:
06-6049295

Date: APR 08 1999

Person to Contact:
Margaret Evans
Badge # 04-55299
Contact Telephone Number:
(617)565-7835

Accounting Period Ending:
June 30

Addendum Applies:
No

Housatonic Valley Association, Inc.
P.O. Box 28
Cornwall Bridge, CT 06754-0028

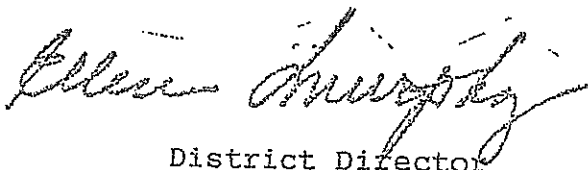
Dear Sir or Madam:

In March of 1970, a conditional determination letter was issued to the organization indicated above, granting exempt status under section 501(c)(3) of the Internal Revenue Code. During that time, it was also determined that you were required to amend your Certificate of Incorporation within six months from the date of your exemption letter, for reasons specified in the letter.

Based upon receipt of your amended Certificate of Incorporation which was filed with Connecticut State on March 15, 1999, we have determined that you meet the requirements of our letter dated March 1970, and your exempt status under section 501(c)(3) remains in effect.

Please let us know about any future change in the character, purpose, method of operation, name or address of your organization. This is a requirement for retaining your exempt status.

Sincerely yours,



Ellen Murphy

District Director



The
Community
Foundation

Serving the Counties of Riverside and San Bernardino

S. L. Gimbel Foundation Fund

November 13, 2014

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Immediate Past Board Chair

Dr. Jonathan Lorenzo Yorba
President and CEO

Ms. Lynn A. Werner
Executive Director
Housatonic Valley Association
P.O. Box 28
Cornwall Bridge, CT 06754

Dear Ms. Werner:

Congratulations! A grant has been approved for **Housatonic Valley Association** in the amount of **\$17,075** from the S.L. Gimbel Foundation. The **performance period for this grant is November 1, 2014 to October 31, 2015**. Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

Demonstration Rain Garden: Develop a green infrastructure plan for storm water management and install a demonstration rain garden to educate the public about green ways to manage storm water runoff.

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, please sign and date the enclosed copy and return the original copy to The Community Foundation within the next two weeks. Please retain a copy of the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. The **Grant Evaluation is due by November 16, 2015** and a copy will be available online.

We wish you great success and look forward to working with you during the grant performance period.

If you have any questions, please call me at 951-684-4192 ext. 114 or email me at ccudiamat@thecommunityfoundation.net.

Sincerely,

Celia Cudiamat
Executive Vice President of Programs

19989 Housatonic Valley Association 20140734



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

2014 S.L. Gimbel Foundation Fund

Grant Agreement

Organization: Housatonic Valley Association

Grant Amount: \$ 17,075 **Grant Number:** 20140734

Grant Period: November 1, 2014 to October 31, 2015 (Evaluations due November 16, 2015)

Purpose: **Demonstration Rain Garden: Develop a green infrastructure plan for storm water management and install a demonstration rain garden to educate the public about green ways to manage storm water runoff.**

1. Use of Grant Funds

Grant funds must be expended within the grant period, for the purpose and objectives described in your grant proposal. Grant funds may not be expended for any other purpose without prior written approval by The Community Foundation. If there are significant difficulties in making use of the funds as specified in your proposal, or if the grant funds cannot be spent within the grant period, notify us in writing promptly.

Formal requests for extensions or variances must be submitted to the Foundation's Board of Directors for approval a minimum of 60 days before the end of the grant period.

Requests for variances or extensions are reviewed on a case-by-case basis and approved by the Board of Directors. If a request is denied, unused funds must be immediately refunded to the Foundation.

2. Payment of Grant Funds

The grant funds will be paid in full by the Foundation upon receipt of the signed Grant Agreement. Challenge grant funds will be paid in full upon receipt of the signed Grant Agreement and upon receipt of documentation providing evidence that condition(s) of the challenge grant has/have been met.

3. Certification and Maintenance of Exempt Organization Status

This grant is specifically conditioned upon Grantee's status as an eligible grantee of The Community Foundation. The Foundation has obtained a copy of the Grantee's IRS determination letter. Grantee confirms that it has not had any change in its tax-exempt status, and shall notify the Foundation immediately of any such change.

4. Final Report and Records

The Grantee will submit the Grant Evaluation report per the deadline set forth in the award letter. This report includes a narrative on outcomes based on goals and objectives set forth in the grant proposal and an expenditure report documenting use of grant funds. If equipment was purchased, copies of receipts need to be included.

5. Grantee's Financial Responsibilities

Grantee will keep records of receipts and expenditures of grant funds and other supporting documentation related to the grant at least four (4) years after completion of the grant and will make such records of receipts, expenditures and supporting documentation available to the Foundation upon request.

6. Publicity

The Community Foundation recommends publicity for the grant and acknowledging The Community Foundation in internal correspondence, brochures as appropriate; newsletters, annual reports and email blasts or e-newsletters.

The credit line of "Made possible in part by a grant from the **"S.L. Gimbel Foundation Advised Fund at The Community Foundation – Inland Southern California"**" is suggested. When your donors are listed in printed materials, include the S.L. Gimbel Foundation Advised Fund at The Community Foundation in the appropriate contribution size category. When publishing our name, please note the "The" at the beginning of our name is a legal part of our name. It should always be used and capitalized. Attaching our logo is also appreciated. Our logo can be downloaded from our website at www.thecommunityfoundation.net.

7. Indemnification

In the event that a claim of any kind is asserted against the Grantee or the Foundation related to or arising from the project funded by the Grant and a proceeding is brought against the Foundation by reason of such claim, the Grantee, upon written notice from the Foundation, shall, at the Grantee's expense, resist or defend such action or proceeding, at no cost to the Foundation, by counsel approved by the Foundation in writing.

Grantee hereby agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its offices, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) directly, indirectly, wholly, or partially arising from or in connection with any act or omission by Grantee, its employees, or agents in applying for or accepting the Grant, in expending or applying the Grant funds or in carrying out any project or program supported by the Grant, except to the extent that such claims, liabilities, losses, and expenses arise from or in connection with any bad faith act or omission by the Foundation, its officers, directors, employees, or agent.

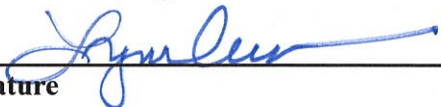
8. Termination

The Community Foundation may terminate this agreement, withhold payments, or both at any time, if, in the Community Foundation's judgment: a) The Community Foundation is not satisfied with the quality of the Grantee's progress toward achieving the project goals and objectives; b) the Grantee dissolves or fails to operate; c) the Grantee fails to comply with the terms and conditions of this agreement.

9. Limitation of Support

This Agreement contains the entire agreement between the parties with respect to the Grant and supersedes any previous oral or written understandings or agreements.

I have read and agree to the terms and conditions of the Grant Agreement.


Signature

Lynn Werner
Printed Name

November 17, 2014
Date

Executive Director
Title

Organization: 19989 Housatonic Valley Association
Grant Number: 20140734

11/24/14



Housatonic Valley Association

150 Kent Road
P.O. Box 28
Cornwall Bridge, CT 06754
860-672-6678

1383 Pleasant Street
P.O. Box 251
South Lee, MA 01260
413-394-9796

19 Furnace Bank Road
P.O. Box 315
Wassaic, NY 12592
845-789-1381

www.hvatoday.org

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November 18, 2014

Celia Cudiamat
Executive Vice President of Programs
The Community Foundation
3700 Sixth Street, Suite 200
Riverside, CA 92501

Dear Ms. Cudiamat,

Thank you very much for helping the Housatonic Valley Association receive a 2014 S.L. Gimbel Foundation Fund grant for the Installation of a Demonstration Rain Garden next to the town hall in Amenia, New York. We look forward to the completion of another successful project.

Enclosed please find the signed Grant Agreement document and will submit all reports as required.

Please extend our thanks to all who made this award possible.

Thank you again.

Sincerely yours,

Richard C. Sears
Development Director

:enclosures



The
Community
Foundation

Serving the Counties of Riverside and San Bernardino

S. L. Gimbel Foundation Fund

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Executive Director
Housatonic Valley Association
P.O. Box 28
Cornwall Bridge, CT 06754

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Vice Chair of the Board

Pat Spafford, CPA
Chief Financial Officer

Sean Varner
Secretary of the Board

Dear Ms. Werner:

Glenda Bayless
Dr. Paulette Brown-Hinds

Sergio Bohon

Rabbi Hillel Cohn

Andrea Dutton

Robert Fey

Paul Granillo

Stanley Grube

Kirk Harns

Dr. Fred Jandt

Andrew Jaramillo

Dr. Albert Karnig

D. Matthew Pim

Patrick O'Reilly

Rose Salgado

Beverly Stephenson

Grover Trask
Immediate Past Board Chair

The Community Foundation is pleased to enclose a grant check for \$17,075 from the S. L. Gimbel Foundation, a component fund at The Community Foundation. By cashing the grant check, you are agreeing to the conditions stated under the *Terms of Grant* which you have signed and returned. The completed Grant Evaluation form is due by November 16, 2015 and will be available online on The Community Foundations website under Grants/Forms. Please note that any grant variances or extensions must be requested in writing and in advance. Any remaining grant funds must be returned to The Community Foundation at the end of the grant period.

We greatly appreciate any help you can give us in publicizing the grant. **Please use the following credit in any grant announcements or materials funded by the grant: "The (name of project/program) is supported by a grant from The S. L. Gimbel Foundation."** You may send copies of articles printed in local papers, stories in your agency newsletter, annual report, press releases, and other publications for our files.

If you have any questions, please contact me at 951-684-4194.

Sincerely,

Celia Cudiamat
Executive Vice President of Programs

20140734

38382

GIMB4

Dr. Jonathan Lorenzo Yorba
President and CEO



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with National Standards for
U.S. Community Foundations

3700 Sixth Street, Suite 200 ~ Riverside, California 92501
P: 951.241.7777 ~ F: 951.684.1911 ~ www.thecommunityfoundation.net

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Strengthening Inland Southern California through Philanthropy
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RIVERSIDE, CA 92501
951-241-7777 / FAX 951-684-1911

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DATE

AMOUNT

11/13/2014

\$****17,075.00

TO THE ORDER OF

Housatonic Valley Association
P.O. Box 28
Cornwall Bridge, CT 06754



Celia Andramat
Jonathan Coenzo, Jorben
AUTHORIZED SIGNATURE

Security features. Details on back.

⑈038382⑈ ⑆122234149⑆ 244124437⑈

The Community Foundation
19989 Housatonic Valley Association
20140734 11/10/2014 Demonstration Rain Garden
GIMB S.L. Gimbel Foundation Advised Fund

38382
11/13/2014 038382
17,075.00
17,075.00

CHECK TOTAL: \$****17,075.00

The Community Foundation
19989 Housatonic Valley Association
20140734 11/10/2014 Demonstration Rain Garden
GIMB S.L. Gimbel Foundation Advised Fund

38382
11/13/2014 038382
17,075.00
17,075.00

CHECK TOTAL: \$****17,075.00